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	Application Number	10/849,402
TRANSMITTAL	Filing Date	May 19, 2004
FORM	First Named Inventor	Christopher Voice
	Art Unit	2131
be used for all correspondence after initial filing)	Examiner Name	na
Number of Pages in This Submission	Attorney Docket Number	10500.04.0427

ENCLOSURES (Check all that apply)							
	Amendm A Extensio Express Informati  Certified Documer Reply to Incomple	resmittal Form  Tee Attached  Teent/Reply  After Final  Affidavits/declaration(s)  In of Time Request  Abandonment Request  On Disclosure Statement  Copy of Priority Int(s)  Missing Parts/ Sete Application  Reply to Missing Parts Index 37 CFR 1.52 or 1.53	Drawing(s)  Licensing-related Papers  Petition  Petition to Convert to a Provisional Application Power of Attorney, Revoca Change of Correspondence  Terminal Disclaimer  Request for Refund  CD, Number of CD(s)  Landscape Table on Remarks	tion e Address	After Allowance Communication to TC  Appeal Communication to Board of Appeals and Interferences  Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter  Other Enclosure(s) (please Identify below):  -IDS Cover Sheet -Copies of references (7) -return postcard		
	SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT						
Firm N	lame		man & Kammholz, P.C.	<u> </u>			
Signat	ure	CRe	chang				
Printed name Christopher J. Reckamp							
Date	Date 4-25-0		5	Reg. No.	34,414		
sufficie the da	CERTIFICATE OF TRANSMISSION/MAILING  I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:						
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Christine A. Wright

Typed or printed name

Date





## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Christopher Voice et al.

Examiner: na

Serial No.:

10/849,402

Art Unit: 2131

Filing Date:

May 19, 2004

Docket No.: 10500.04.0427

Title: METHOD AND APPARATUS FOR PROVIDING ELECTRONIC MESSAGE AUTHENTICATION

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Date

Christine A. Wright

## INFORMATION DISCLOSURE STATEMENT IN ACCORDANCE WITH 37 CFR §§ 1.97(C) AND 1.98

Pursuant to 37 CFR §§ 1.97(c) and 1.98, Applicants respectfully submit the following statement consisting of:

- 1. A list of documents; and
- 2. General remarks.

A copy of the listed documents is enclosed herewith along with Forms PTO/SB/08A and PTO/SB/08B.

## 1. Documents

- a. U.S. Patent No. 4,189,353; issued February 19, 1980 to Harriman;
- b. U.S. Patent No. 5,712,627; issued January 27, 1998 to Watts;
- c. U.S. Patent No. 6,438,550B1; issued August 20, 2002 to Doyle et al.;
- d. U.S. Publication No. 2003/0015866A1; published January 23, 2003 to Cioffi et al.;

e. U.S. Publication No. 2003/0141373A1; published July 31, 2003 to Lasch et al.;

f "Duamanad Calutiana

"Proposed Solutions to Address the Threat of Email Spoofing Scams";

The Anti-Phishing Working Group; December, 2003; pages 1-10;

g. "Authenticated Email Primer: Tumbleweed Jumps Into the Fray";

America's Growth Capital; April 8, 2004; pages 1-13;

h. "The Dryad Numeral Cipherauthentication System"; from

https://hosta.atsc.eustis.army.mil; printed May 11, 2004; pages 1-12;

i. "What are PassMarks?"; PassMark; from www.passmarksecurity.com;

printed April 21, 2005; page 1;

j. Canadian Patent Office International Search Report for International

Application No. PCT/CA2004/002091 dated March 21, 2005; and

k. Canadian Patent Office Written Opinion for International Application No.

PCT/CA2004/002091 dated March 21, 2005.

<u>REMARKS</u>

This Information Disclosure Statement is being filed before the issuance of a first office

action. As such, there is no fee due for the filing of such information disclosure statement.

The submission of the above documents is not an admission that the information is prior

art, analogous or otherwise material. It is respectfully requested that the above-listed documents

be considered and made of record in the present application.

Respectfully submitted,

Date: 4-25-05

sy: \_\_\_\_\_\_

Christopher J. Reckamp

Registration No. 34,414

Vedder, Price, Kaufman & Kammholz, P.C.

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PTO/SB/08A (08-03)

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Substitution form 1440 PTO

Sheet 1

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known				
Application Number	10/849,402			
Filing Date	May 19, 2004			
First Named Inventor	Christopher Voice			
Art Unit	2131			
Examiner Name	na			
Attorney Docket Number	10500.04.0427			

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (# known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		<sup>US-</sup> 4,189,353	02-19-1980	Harriman	
		<sup>US-</sup> 5,712,627	01-27-1998	Watts	
		<sup>US-</sup> 6,438,550 B1	08-20-2002	Doyle et al.	
		<sup>US-</sup> 2003/0015866A1	01-23-2003	Cioffi et al.	
		<sup>US-</sup> 2003/0141373A1	07-31-2003	Lasch et al.	
		US-			

		FORE	IGN PATENT DOCU	JMENTS		
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> ( <i>if known</i> )	MM-DD-YYYY		Or Relevant Figures Appear	1
		WO 99/24267	05-20-1999	Documotion		
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Examiner Signature	/Shin Hon Chen/	Date Considered	07/31/2008

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

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10500.04.0427

of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1494PTRADE **Application Number** 10/849,402 INFORMATION DISCLOSURE **Filing Date** May 19, 2004 STATEMENT BY APPLICANT **First Named Inventor** Christopher Voice Art Unit 2131 (Use as many sheets as necessary) **Examiner Name** na

Attorney Docket Number

Sheet

2

2

	1 00	NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
		The Anti-Phishing Working Group; Proposed Solutions to Address the Threat of Email Spoofing Scams; December 2003; pages 1-10.	
		America's Growth Capital; Authenticated Email Primer: Tumbleweed Jumps Into the Fray; April 8, 2004; pages 1-13.	
		The Dryad Numeral Cipherauthentication System; from https://hosta.atsc.eustis.army.mil; printed May 11, 2004; pages 1-12.	
		PassMark; What are PassMarks?; from www.passmarksecurity.com; printed April 21, 2005; page 1.	
		Canadian Patent Office International Search Report for International Application No. PCT/CA2004/002091 dated March 21, 2005.	
		Canadian Patent Office Written Opinion for International Application No. PCT/CA2004/002091 dated March 21, 2005.	

Examiner		Date	07/31/2008	
Signature	/Shin Hon Chen/	Conside		

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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